The World Bank
INTERNATIONAL BANK FOR RECONSTRUCTION AND DEVELOPMENT

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70712-900 – Brasília – DF, BRASIL

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September 11, 2012

His Excellency
Mr. Tarso Fernando Herz Genro
Governor
State of Rio Grande Do Sul
Palácio Piratini
Praça Marechal Deodoro S/N
Porto Alegre – RS
Brasil

His Excellency
Mr. João Motta
Secretário de Estado de Planejamento, Gestão e Participação Cidadã
Borges de Medeiros, 1.501, 9° andar.
Porto Alegre - RS
Brazil

Re: IBRD Loan 8155-BR (Brazil SWAp to Strengthen Public Investment Project)
Additional Instructions: Disbursement Letter

Excellency,

I refer to the Loan Agreement between the International Bank for Reconstruction and Development ("World Bank") and the State of Rio Grande do Sul ("Borrower") for the above-referenced project, dated September 11, 2012. The Loan Agreement provides that the World Bank may issue additional instructions regarding the withdrawal of the proceeds of Loan IBRD 8155-BR ("Loan"). This letter ("Disbursement Letter"), as revised from time to time, constitutes the additional instructions.

The attached World Bank Disbursement Guidelines for Projects, dated May 1, 2006, ("Disbursement Guidelines") (Attachment 1), are an integral part of the Disbursement Letter. The manner in which the provisions in the Disbursement Guidelines apply to the Loan is specified below. Sections and subsections in parentheses below refer to the relevant sections and subsections in the Disbursement Guidelines and, unless otherwise defined in this Disbursement Letter, the capitalized terms used have the meanings ascribed to them in the Disbursement Guidelines.

I. Disbursement Arrangements

(i) Disbursement Methods (section 2). The following Disbursement Methods may be used under the Loan:

- Advance and Reimbursement for Category (1)
- Advance and Reimbursement (for retroactive expenditures only) for Category (2)

(ii) Disbursement Deadline Date (subsection 3.7). The Disbursement Deadline Date is four months after the Closing Date specified in the Loan Agreement. Any changes to this date will be notified by the World Bank.

(iii) Disbursement Conditions (subsection 3.8). Please refer to the Disbursement Conditions in the Loan Agreement.

II. Withdrawal of Loan Proceeds

(i) Authorized Signatures (subsection 3.1). A letter in the Form attached (Attachment 2) should be furnished to the World Bank at the address indicated below providing the name(s) and specimen signature(s) of the official(s) authorized to sign Applications for withdrawal:

Banco Mundial
Setor Comercial Norte,
Quadra 02, Lote A
Edificio Corporate Finance Center
7° Andar
70712-900 Brasilia, D.F.
Brazil
Attention: Ms. Deborah L. Wetzel, Country Director-Brazil-Country Management Unit

(ii) Applications (subsections 3.2 - 3.3). Please provide completed and signed Applications for withdrawal together with supporting documents required, to the address indicated below:

Banco Mundial
Sector Comercial Norte,
Quadra 02, Lote A
Edificio Corporate Finance Center
7° Andar
70712-900 Brasilia, D.F.
Brazil
Attention: Loan Department

(iii) Electronic Delivery (subsection 3.4). The World Bank may permit the Borrower to electronically deliver to the World Bank, Applications (with supporting documents) through the World Bank's Client Connection, web-based portal. The option to deliver Applications to the World Bank by electronic means may be effected if: (a) the Borrower has designated in writing, pursuant to the terms of subparagraph (i) of this Section, its officials who are authorized to sign and deliver Applications and to receive secure identification devices ("Tokens") from the World Bank for the purpose of delivering such Applications by electronic means; and (b) all such officials designated by the Borrower have registered as users of Client Connection. If the World Bank agrees, the World Bank will provide the Borrower with Tokens for the designated officials. Following which, the designated officials may deliver Applications electronically by completing

Form 2380, which is accessible through Client Connection (https://clientconnection.worldbank.org). The Borrower may continue to exercise the option of preparing and delivering Applications in paper form. The World Bank reserves the right and may, in its sole discretion, temporarily or permanently disallow the electronic delivery of Applications by the Borrower.

(iv) Terms and Conditions of Use of Tokens to Process Applications. By designating officials to accept Tokens and by choosing to deliver the Applications electronically, the Borrower confirms through the authorized signatory letter its agreement to: (a) abide by the *Terms and Conditions of Use of Secure Identification Devices in connection with Use of Electronic Means to Process Applications and Supporting Documentation* ("Terms and Conditions of Use of Tokens") provided in Attachment 3; and (b) to deliver the Terms and Conditions of Use of Tokens to each such official and to cause such official to abide by those terms and conditions.

(v) Minimum Value of Applications (subsection 3.5). The Minimum Value of an Application for Reimbursement is United States Dollars (US$) 3,000,000 equivalent.

(vi) Advances (sections 5 and 6) for Category (1) -DA-A

- ***Type of Designated Account (subsection 5.3)***: Segregated
- ***Currency of Designated Account (subsection 5.4)***: United States Dollars
- ***Financial Institution at which the Designated Account Will Be Opened (subsection 5.5)***: Banrisul
- ***Ceiling (subsection 6.1)***: US$ 10,000,000

(vii) Advances (sections 5 and 6) for Category (2) -DA-B

- ***Type of Designated Account (subsection 5.3):*** Segregated
- ***Currency of Designated Account (subsection 5.4):*** United States Dollars
- ***Financial Institution at which the Designated Account Will Be Opened (subsection 5.5):*** Banrisul
- ***Ceiling (subsection 6.1):*** Variable subject to the limits set out in Section IV.(iv) of this letter

III. Reporting on Use of Loan Proceeds

(i) Supporting Documentation (section 4). Supporting documentation should be provided with each Application for withdrawal as set out below:

- ***For requests for Reimbursement (retroactive expenditures only) claimed under Category (2) and for reporting Eligible Expenditures paid from the Designated Account under Category (2):***

    - Customized SOE in the form attached (Attachment 4) which reflects the Eligible Expenditure Program (EEP) Spending Report prepared in accordance with provisions established in the Project Operational Manual and the additional instructions provided in this Disbursement Letter; and
    - List of payments against contracts that are subject to the World Bank's prior review, in the form attached (Attachment 5).

- ***For requests for Reimbursement claimed under Category (1) and for reporting Eligible Expenditures paid from the Designated Account under Category (1):***

    - Summary Sheet in the form attached (Attachment 6) and Records evidencing eligible expenditures (e.g., copies of receipts, supplier invoices) for payments made under:
        - Goods and Non-Consulting Services contracts costing US$ 2,000,000 equivalent per contract or more;
        - Consultant firm contracts costing US$ 100,000 equivalent per contract or more;
        - Individual consultant contracts costing US$ 50,000 equivalent per contract or more;
    - Statement of Expenditure in the form attached (Attachment 7) for payments against contracts that do not exceed the thresholds established above; and
    - Designated Account Bank Statement(s) and Reconciliation in the case of reporting Eligible Expenditures paid from the Designated Account.

(ii) Frequency of Reporting Eligible Expenditures Paid from the Designated Accounts (subsection 6.3): Every six months

IV. Other Disbursement Instructions

(i) Each EEP Spending Report, submitted as supporting documentation for Applications for withdrawal claimed under Category (2) will need to have been reviewed and certified by the World Bank as acceptable in format and content in accordance with Schedule 2, Section I, B. and Schedule 2, Section II, A of the Loan Agreement and the Project Operational Manual.

(ii) Each EEP Spending Report will require the following information:

(a) Calculation and demonstration of EEP expenditures eligible for World Bank financing, including the presentation of actual EEP expenditures, to prove that the actual EEP expenditures during that period, were sufficient to justify the previous Advance against estimated EEP expenditures.

(b) Confirmation that at least 70 percent of the amounts budgeted, in the preceding Bank's fiscal year (July to June) for each EEP Line, have been spent for the fourth, sixth, and

eighth Application for withdrawal. If the 70 percent rule is not met for an EEP Line, then the planned disbursement amount in that period will be reduced by 12.5 percent (i.e. one of eight EEPs). The unwithdrawn amount will be added to the next planned disbursement amount.

(c) Compliance with each relevant Disbursement-Linked Indicator (DLI) for the fourth, sixth, and eighth Application for withdrawal.

(iii) In the case that the EEP Spending Report indicates partial compliance with the requirements listed above, then the following will apply:

(a) The 70 percent rule is the first disbursement requirement to be verified. The planned disbursement amount from the Loan for the given disbursement will be reduced, as per the percentage indicated above for each EEP Line that does not meet the rule 70 percent rule.

(b) Compliance with DLIs is then verified. The amount to be disbursed is calculated according to the following formulae: x/y multiplied by the amount eligible to be withdrawn (after the application of the 70 percent rule), where x is the number of attained DLIs and y is the total number of DLIs. The Bank will request the Borrower to prepare a time-bound action plan to achieve the failed DLI or DLIs in a manner satisfactory to the Bank; and when satisfied that the failed DLI or DLIs have been complied with and/or such action plan has been implemented, authorize that the unwithdrawn amount by which the corresponding disbursement had been reduced, to be advanced in the immediately subsequent Application for withdrawal.

(c) Advance amounts not documented will be deemed available to cover the next period's EEP expenditures and will be deducted from the calculation of the amount to be disbursed (after the application of (a) and (b) immediately above).

(iv) Application for Withdrawal Schedule for Category (2) Each Application for withdrawal shall be presented using the following schedule:

(a) the first withdrawal in respect of payments made for Eligible Expenditure Programs in the 12 months prior to the Signing date of the Loan Agreement but not earlier than January 1, 2012 (retroactive expenditures), up to a planned disbursement amount not exceeding US$ 10,000,000 may be made on or about the Effective Date. In the event the full amount of the first withdrawal is not made, any balance remaining to be disbursed, will be evenly distributed over the next eight disbursements with a resultant increase in the planned disbursement amounts indicated below.

(b) the second withdrawal on or about the Effective Date as an Advance, in respect of payments to be made for Eligible Expenditures Programs in the period from the Signing Date to December 2012, up to a planned disbursement amount not exceeding US$ 30,000,000 (not including any amounts rolled over).

(c) the third withdrawal on or about March 2013 as an Advance, in respect of payments to be made for Eligible Expenditures Programs in the period from January 2013 to June 2013, up to a planned disbursement amount not exceeding US$ 40,700,000 (not including amounts rolled over). This withdrawal will also document actual EEP expenditures for the period from the Signing Date to December 2012.

(d) the fourth withdrawal on or about September 2013 as an Advance, in respect of payments to be made for Eligible Expenditures Programs in the period from July 2013 to December 2013, up to a planned disbursement amount not exceeding US$ 50,000,000 (not including amounts rolled over). This withdrawal will also document actual EEP expenditures for the period from January 2013 to June 2013.

(e) the fifth withdrawal on or about March 2014 as an Advance, in respect of payments to be made for Eligible Expenditures Programs in the period from January 2014 to June 2014, up to a planned disbursement amount not exceeding US$ 53,700,000 (not including amounts rolled over). This withdrawal will also document actual EEP expenditures for the period from July 2013 to December 2013.

(f) the sixth withdrawal on or about September 2014 as an Advance, in respect of payments to be made for Eligible Expenditures Programs in the period from July 2014 to December 2014, up to a planned disbursement amount not exceeding US$ 72,000,000 (not including amounts rolled over). This withdrawal will also document actual EEP expenditures for the period from January 2014 to June 2014.

(g) the seventh withdrawal on or about March 2015 as an Advance, in respect of payments to be made for Eligible Expenditures Programs in the period from January 2015 to June 2015, up to a planned disbursement amount not exceeding US$ 71,400,000 (not including amounts rolled over). This withdrawal will also document actual EEP expenditures for the period from July 2014 to December 2014.

(h) the eighth withdrawal on or about September 2015 as an Advance, in respect of payments to be made for Eligible Expenditures Programs in the period from July 2015 to December 2015, up to a planned disbursement amount not exceeding US$ 48,000,000 (not including amounts rolled over). This withdrawal will also document actual EEP expenditures for the period from January 2015 to June 2015.

(i) the ninth withdrawal on or about March 2016 as an Advance, in respect of payments to be made for Eligible Expenditures Programs in the period from January 2016 to June 2016, up to a planned disbursement amount not exceeding US$ 47,700,000 (not including amounts rolled over). This withdrawal will also document actual EEP expenditures for the period from July 2015 to December 2015.

(j) the tenth withdrawal on or about September 2016 to document actual EEP expenditures for the period from January 2016 to June 2016.

V. Other Important Information

For additional information on disbursement arrangements, please refer to the Disbursement Handbook available on the World Bank's public website at http://www.worldbank.org and its secure website "Client Connection" at http://clientconnection.worldbank.org. Print copies are available upon request.

From the Client Connection website, you will be able to download Applications, monitor the near real-time status of the Loan, and retrieve related policy, financial, and procurement information. All Borrower officials authorized to sign and deliver Applications by electronic means are required to register with Client Connection before electronic delivery can be effected. For more information about the website and registration arrangements, please contact the World Bank by email at <clientconnection@worldbank.org>.

If you have any queries in relation to the above, please contact the World Bank Loan Department by sending a message to loalcr@worldbank.org and placing the Project name and Loan number in the subject line.

Yours sincerely,

Deborah L. Wetzel
Director
Brazil
Latin America and the Caribbean Region

Attachments

1. *World Bank Disbursement Guidelines for Projects*, dated May 1, 2006
2. Format of Authorized Signature Letter
3. *Terms and Conditions of Use of Secure Identification Devices in connection with Use of Electronic Means to Process Applications and Supporting Documentation*, dated January 20, 2010
4. Customized Statement of Expenditures (SOE) for Category (2) – EEP Spending Report
5. Form of Payments Against Contracts Subject to the World Bank's Prior Review
6. Form of Summary Sheet.
7. Form of Statements of Expenditures

DL Prepared by:	Miguel-Santiago Oliveira, CTRLN
DL Reviewed and cleared by:	Mariángeles Sabella, LEGLA
	Thomas Kenyon, LCSPF

Attachment 2

SEPLAG - Secretaria de Planejamento, Gestão e Participação Cidadã
Borges de Medeiros, 1.501, 9° andar. Porto Alegre – RS
Cep: 90119 - 900 Brazil

[DATE]

Banco Mundial
Setor Comercial Norte,
Quadra 02, Lote A
Edifício Corporate Financial Center
7º Andar
70712-900 Brasilia, DF
Brazil

Attention: Mr. Deborah L. Wetzel, Director, Brazil, Latin America and the Caribbean Region

Re: IBRD Loan 8155-BR (Brazil *SWAp to Strengthen Public Investment* Project - *Programa de Apoio à Retomada do Desenvolvimento Econômico e Social do Rio Grande do Sul*)

Dear Mrs. Wetzel:

I refer to the Loan Agreement between the International Bank for Reconstruction and Development ("Bank") and the State of Rio Grande Do Sul ("Borrower"), dated September 11, 2012, providing the above Loan. For the purposes of Section 2.03 of the General Conditions as defined in the Loan Agreement, any 1[one] of the persons whose authenticated specimen signatures appear below is authorized on behalf of the Borrower to sign Applications for withdrawal under this Loan.

For the purpose of delivering Applications to the Bank, 2[each] of the persons whose authenticated specimen signatures appears below is authorized on behalf of the Borrower, acting 3[individually] 4[jointly], to deliver Applications, and evidence in support thereof on the terms and conditions specified by the Bank.

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[1] Instruction to the Borrower: Stipulate if more than one person needs to sign Applications, and how many or which positions, and if any thresholds apply. *Please delete this footnote in final letter that is sent to the Bank.*

[2] Instruction to the Borrower: Stipulate if more than one person needs to *jointly* sign Applications, if so, please indicate the actual number. *Please delete this footnote in final letter that is sent to the Bank.*

[3] Instruction to the Borrower: Use this bracket if any one of the authorized persons may sign; if this is not applicable, please delete. *Please delete this footnote in final letter that is sent to the Bank.*

5[This confirms that the Borrower is authorizing such persons to accept Tokens and to deliver the Applications and supporting documents to the Bank by electronic means. In full recognition that the Bank shall rely upon such representations and warranties, including without limitation, the representations and warranties contained in the Terms and Conditions of Use of Secure Identification Devices in connection with Use of Electronic Means to Process Applications and Supporting Documentation ("Terms and Conditions of Use of Tokens"), the Borrower represents and warrants to the Bank that it will deliver to each such person a copy of the Terms and Conditions of Use of Tokens and will cause such persons to abide by those terms and conditions.]

This Authorization replaces and supersedes any Authorization currently in the Bank records with respect to this Agreement.

[Name], [position] Specimen Signature: _____

[Name], [position] Specimen Signature: _____

[Name], [position] Specimen Signature: _____

Yours truly,

/ signed /

Secretário de Planejamento, Gestão e Participação Cidadã
Governo do Estado do Rio Grande do Sul

[4] Instruction to the Borrower: Use this bracket only if several individuals must jointly sign each Application; if this is not applicable, please delete. *Please delete this footnote in final letter that is sent to the Bank.*

[5] Instruction to the Borrower: Add this paragraph if the Borrower wishes to authorize the listed persons to accept Tokens and to deliver Applications by electronic means; if this is not applicable, please delete the paragraph. *Please delete this footnote in final letter that is sent to the Bank.*

Attachment 3

Terms and Conditions of Use of Secure Identification Devices in connection with Use of Electronic Means to Process Applications and Supporting Documentation

January 20, 2010

The World Bank (Bank)[1] will provide secure identification devices (Tokens) to permit the Borrower[2] to deliver applications for withdrawal and applications for special commitments under the Agreement(s) and supporting documentation (such applications and supporting documentation together referred to in these Terms and Conditions of Use as Applications) to the Bank electronically, on the terms and conditions of use specified herein.

A. Identification of Users.

1. The Borrower will be required to identify in a completed Authorized Signatory Letter (ASL) duly delivered to and received by the Bank each person who will be authorized to deliver Applications. The Bank will provide Tokens to each person identified in the ASL (Signatory), as provided below. The Borrower shall also immediately notify the Bank if a Signatory is no longer authorized by the Borrower to act as a Signatory.

2. Each Signatory must register as a user on the Bank's Client Connection (CC) website (https://clientconnection.worldbank.org) prior to delivery of Tokens. Registration on CC will require that the Signatory establish a CC password (CC Password). The Signatory shall not reveal his/her CC Password to anyone or store or record the CC Password in written or other form. Upon registration as a CC user, the Signatory will be assigned a unique identifying account name.

B. Distribution, Initialization and Return of Tokens.

1. The Bank will physically deliver a Token to each Signatory in a manner to be determined by and satisfactory to the Bank.

2. At the time of delivery of a Token to a Signatory, the Signatory will receive a copy of these Terms and Conditions of Use for purposes of initializing the Token.

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[1] "Bank" includes IBRD and IDA.

[2] "Borrower" includes the borrower of an IBRD loan, IDA credit, or Project Preparation Facility advance and the recipient of a grant.

3. The Bank will verify that the Token, Temporary Password and Terms and Conditions of Use have been duly delivered to and received by the CC User.

4. Promptly upon receipt of the Token and Terms and Conditions of Use, the Signatory will access CC using his/her account name and CC Password and register his/her Token and set a personal identification number (PIN) to be used in connection with the use of his/her Token, after which the Token will be initialized for use by the Signatory exclusively for purposes of delivering Applications. Upon initialization of the Token, the Signatory will be a "Token User". The Bank will maintain in its database a user account (Account) for each Token User for purposes of managing the Token of the Token User. Neither the Borrower nor the Token User will have any access to the Account.

5. Prior to first use of the Token by the Token User for delivering Applications, the Borrower shall ensure that the Token User has received training materials provided by the Bank in use of the Token.

6. Tokens shall be promptly returned to the Bank upon request of the Bank.

C. Management of Tokens.

1. Tokens will remain the property of the Bank.

2. Use of the Token is strictly limited to use in the delivery of Applications by the Token User in the manner prescribed by the Bank in the Agreement(s) and these Terms and Conditions. Any other use of the Token is prohibited.

3. The Bank assumes no responsibility or liability whatsoever for any misuse of the Token by the Token User, other representatives of the Borrower, or third parties.

4. The Borrower undertakes to ensure, and represents and warrants to the Bank (such representation and warranty being expressly relied upon by the Bank in delivery of a Token to each Token User) that each Token User is provided, understands and will abide by, these Terms and Conditions of Use, including without limitation the following:

Security

4.1. The Token User shall not reveal his/her PIN to anyone or store or record the PIN in written or other form.

4.2. The Token User shall not allow anyone else to utilize a Token to deliver an Application to the Bank.

4.3. The Token User shall always logout from CC when not using the system. Failure to logout properly can create a route into the system that is unprotected.

4.4. If the Token User believes a third party has learned his/her PIN or has lost his/her Token he/she shall immediately notify clientconnection@worldbank.org.

4.5. The Borrower shall immediately notify the Bank at clientconnection@worldbank.org of any lost, stolen or compromised Tokens, and take other reasonable steps to ensure such Tokens are disabled immediately.

Care of Tokens

4.6. Tokens contain delicate and sophisticated instrumentation and therefore should be handled with due care, and should not be immersed in liquids, exposed to extreme temperatures, crushed or bent. Also, Tokens should be kept more than five (5) cm from devices that generate electromagnetic radiation (EMR), such as mobile phones, phone-enabled PDAs, smart phones and other similar devices. Tokens should be carried and stored separate from any EMR device. At close range (less than 5 cm), these devices can output high levels of EMR that can interfere with the proper operation of electronic equipment, including the Token.

4.7 Without derogating from these Terms and Conditions of Use, other technical instructions on the proper use and care Tokens are available at http://www.rsa.com.

5. ***Replacement***

5.1. Lost, damaged, compromised (in terms of 4.5, above) or destroyed Tokens will be replaced at the expense of the Borrower.

5.2. The Bank reserves the right, in its sole discretion, not to replace any Token in the case of misuse, or not to reactivate a Token User's Account

6. ***Reservation of Right to disable Token***

6.1. The Borrower shall reserve the right to revoke the authorization of a Token User to use a Token for any reason.

6.2. The Bank reserves the right, in its sole discretion, to temporarily or permanently disable a Token, de-activate a Token User's Account or both.

Rio Grande do Sul SWAp

Relatório de Gastos dos Programas de Gastos Elegíveis

Despesas por Programa

Empréstimo Nº.:
Categoria Nº.: 1
DESEMBOLSO Nº.: -

Despesas realizadas no período de meses com início em / / e término em / /

Transporte				
Programa de Gastos Elegíveis (EEPs)	Fonte de Recurso		Pago no Período	Acumulado até o Período
CREMA – 3252	xxxx	E.G. Recursos Ordinários		
DAER Personnel – 4547	xxxx	Fonte		
		SUBTOTAL (1)	-	-

Educação				
Programa de Gastos Elegíveis (EEPs)	Fonte de Recurso		Pago no Período	Acumulado até o Período
Technological modernization – 1909	xxxx	E.G. Recursos Ordinários		
Refurbishment of facilities – 6344	xxxx	Fonte		
Participatory evaluation system - 5791	xxxx	Fonte		
SEDUC personnel – 6950	xxxx	Fonte		
		SUBTOTAL (2)	-	-

Desenvolvimento do setor privado				
Programa de Gastos Elegíveis (EEPs)	Fonte de Recurso		Pago no Período	Acumulado até o Período
Cluster governance and monitoring – 3342/3335	xxxx	E.G. Recursos Ordinários		
Industrial extension services – 3334	xxxx	Fonte		
Science parks & innovation networks – 6704	xxxx	Fonte		
		SUBTOTAL (3)	-	-

Gestão do setor público				
Programa de Gastos Elegíveis (EEPs)	Fonte de Recurso		Pago no Período	Acumulado até o Período
Public asset management - 8089	xxxx	E.G. Recursos Ordinários		
		SUBTOTAL (3)	-	-

TOTAL	-	-
Valor Limite do Desembolso [até 50% do montante gasto]	-	

Rio Grande do Sul SWAp

Relatório de Gastos dos Programas de Gastos Elegíveis

Apuração da Regra de 70% por Componente

Empréstimo Nº.:
Categoria Nº.: 2
DESEMBOLSO Nº.: -

Despesas realizadas no período de __ meses com início em __/__/____ e término em __/__/____

1 - Transporte

Programa	Fonte de Recurso		Valor Previsto (1)	Pago no Periodo (2)	% (2)/(1)
CREMA – 3252	xxxx	E.G. Recursos Ordinários		-	
DAER Personnel – 4547	xxxx	Fonte			
TOTAL			-	-	#DIV/0!
				Coef.	#DIV/0!

2 - Educação

Programa	Fonte de Recurso		Valor Previsto (1)	Pago no Periodo (2)	% (2)/(1)
Technological modernization – 1909	xxxx	E.G. Recursos Ordinários			
Refurbishment of facilities – 6344	xxxx	Fonte			
Participatory evaluation system - 5791	xxxx	Fonte			
SEDUC personnel – 6950	xxxx	Fonte			
TOTAL			-	-	#DIV/0!
				Coef.	#DIV/0!

3 - Desenvolvimento do setor privado

Programa	Fonte de Recurso		Valor Previsto (1)	Pago no Periodo (2)	% (2)/(1)
Cluster governance and monitoring – 3342/3335	xxxx	E.G. Recursos Ordinários			
Industrial extension services – 3334	xxxx	Fonte			
Science parks & innovation networks – 6704	xxxx	Fonte			
TOTAL			-	-	#DIV/0!
				Coef.	#DIV/0!

4 - Gestão do setor publico

Programa	Fonte de Recurso		Valor Previsto (1)	Pago no Periodo (2)	% (2)/(1)
Public asset management - 8089	xxxx	E.G. Recursos Ordinários			
TOTAL			-	-	#DIV/0!
				Coef.	#DIV/0!

Coeficiente REGRA 70%	#DIV/0!

Rio Grande do Sul Project SWAp

Relatório de Gastos dos Programas de Gastos Elegíveis
Apuração dos Indicadores de Desembolso

Empréstimo Nº.:
Categoria Nº.: 2
DESEMBOLSO Nº.: -

1 - Competitividade da Agricultura Familiar e maior Acesso aos Mercados						
1.1 - Investimentos						
No	Indicador	Protocolo	Baseline	[Ano 1] [Ano2]		
				Meta (a)	Realizado(b)	(b) - (a)
1	Cumulative percentage of the paved road network under CREMA contracts					0
2	Number of construction school refurbishment projects undertaken					0
3	Agreements signed/renewed with APLs					
4	Agreements signed/renewed with Extension Centers					
5	New triple helix alliances implemented					
6	Primary fiscal balance					
7	Investment expenditures					0
8	Revenue from ICMS					0
					Regra de 70% cumprida para o setor? (1 = sim e 0 = não)	#DIV/0!
					Total de indicadores:	8
					Total de indicadores atendidos:	

Denominador	#DIV/0!
Numerador	#DIV/0!
TOTAL	#DIV/0!

Rio Grande do Sul Project SWAp
Relatório de Acompanhamento da Evolução Inicial vs. Autorizado

Data:
Empréstimo Nº.:
Categoria Nº.: 2
DESEMBOLSO Nº.: -

Transporte

Programa	Fonte de Recurso	Valor Previsto						Pago no Periodo						Variação (%) (Pago no Periodo/Valor Previsto)					
		ano1	ano 2	ano 3	ano4	ano5	ano6	ano1	ano 2	ano 3	ano4	ano5	ano6	ano1	ano 2	ano 3	ano4	ano5	ano6
CREMA – 3252	xxxx E.G. Recursos Ordinários													#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!
DAER Personnel – 4547	xxxx Fonte													#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!
TOTAL		-	-	-	-	-	-	-	-	-	-	-	-	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!

Educação

Programa	Fonte de Recurso	Valor Previsto						Pago no Periodo						Variação (%) (Pago no Periodo/Valor Previsto)					
		ano1	ano 2	ano 3	ano4	ano5	ano6	ano1	ano 2	ano 3	ano4	ano5	ano6	ano1	ano 2	ano 3	ano4	ano5	ano6
Technological modernization – 1909	xxxx E.G. Recursos Ordinários													#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!
Refurbishment of facilities – 6344	xxxx Fonte													#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!
Participatory evaluation system - 5791	xxxx Fonte													#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!
SEDUC personnel – 6950	xxxx Fonte													#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!
TOTAL		-	-	-	-	-	-	-	-	-	-	-	-	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!

Desenvolvimento do setor privado

Programa	Fonte de Recurso	Valor Previsto						Pago no Periodo						Variação (%) (Pago no Periodo/Valor Previsto)					
		ano1	ano 2	ano 3	ano4	ano5	ano6	ano1	ano 2	ano 3	ano4	ano5	ano6	ano1	ano 2	ano 3	ano4	ano5	ano6
Cluster governance and monitoring – 3342/3335	xxxx E.G. Recursos Ordinários													#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!
Industrial extension services – 3334	xxxx Fonte													#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!
Science parks & innovation networks – 6704	xxxx Fonte													#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!
TOTAL		-	-	-	-	-	-	-	-	-	-	-	-	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!

Gestão do setor público

Programa	Fonte de Recurso	Valor Previsto						Pago no Periodo						Variação (%) (Pago no Periodo/Valor Previsto)					
		ano1	ano 2	ano 3	ano4	ano5	ano6	ano1	ano 2	ano 3	ano4	ano5	ano6	ano1	ano 2	ano 3	ano4	ano5	ano6
Public asset management - 8089	xxxx E.G. Recursos Ordinários													#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!
TOTAL		-	-	-	-	-	-	-	-	-	-	-	-	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!
TOTAL POR ANO		-	-	-	-	-	-	-	-	-	-	-	-	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!

TOTAL ACUMULADO PROJETO	-	-	#DIV/0!

Rio Grande do Sul Project SWAp

Attachment 5

LISTA DE CONTRATOS SUJEITOS A REVISÃO PRÉVIA
Pagamentos feitos no período coberto pelo relatório a contratos
sujeitos à revisão prévia pelo Banco comum aos empréstimos

Número do Contrato	*Fornecedor*	*Data do Contrato*	*Valor do Contrato*	*Data da "não-objeção" do Banco ao contrato*	*Montante pago ao fornecedor durante o período*	*Parcela do montante pago ao fornecedor durante o período coberta pelo Banco**

Folha Resumo - SS

-BR

Pagamentos realizados durante o período de: _____ a _____

Data: _____
Pedido Nº.: _____
Empréstimo N: [redacted]

Para despesas realizadas com: *igual ou maior que USD:*

- Obras com contratos de valor ***igual ou maior*** que: 5,000,000 equivalentes
- Bens e servicos nao de consultoria com contratos de valor ***igual ou maior*** que: 2,000,000 equivalentes
- Firmas de Consultoria com contratos de valor ***igual ou maior*** que: 100,000 equivalentes
- Consultores Individuais com contratos de valor ***igual ou maior*** que: 50,000 equivalentes

PARA CONTRATOS QUE NÃO REQUEREM REVISÃO PRÉVIA

Categoria Nº <Inserir No. Categoria>

											Conta Designada	
1	2	3	4		5	6	7	8	9	10	11	12
Item No.	Nome do fornecedor/firma/consultor ou beneficiário	Número do Contrato	Valor Total do Contrato		Número da fatura	Valor Total das Faturas	% Financ. pelo BIRD	Valor Financiado pelo Banco Mundial (6x7)	Data do Pagamento	Observações	Data do Débito da Conta Designada	Quantidade Debitada da Conta Designada
					Total						Total	

PARA CONTRATOS QUE REQUEREM REVISÃO PRÉVIA

Categoria Nº <Inserir No. Categoria>

											Conta Designada	
1	2	3	4a	4b	5	6	7	8	9	10	11	12
Item No.	Nome do fornecedor/firma/consultor ou beneficiário	Número do Contrato	Valor Total do Contrato	Data de BIRD's "No Objection" para o Contrato	Número da fatura	Valor Total das Faturas	% Financ. pelo BIRD	Valor Financiado pelo Banco Mundial (6x7)	Data do Pagamento	Observações	Data do Débito da Conta Designada	Quantidade Debitada da Conta Designada
					Total						Total	

Obs.: Comprovantes de despesas em anexo.
Indicar as despesas Retroactivas se for o caso
Preparar uma Folha de Resumo por Categoria

Pagamentos realizados durante o período de: _____ a _____

Data: _____
Pedido Nº.: _____
Empréstimo Nº.

Para despesas realizadas com: *inferior a USD:*

- Obras com contratos de valor ***inferior a:*** 5,000,000 equivalentes
- Bens e servicos nao de consultoria com contratos de valor ***inferior a:*** 2,000,000 equivalentes
- Firmas de Consultoria com contratos de valor ***inferior a*** : 100,000 equivalentes
- Consultores Individuais com contratos de valor ***inferior a*** : 50,000 equivalentes
- Formacao Todos

PARA CONTRATOS QUE <u>NÃO REQUEREM</u> REVISÃO PRÉVIA

Categoria Nº <Inserir No. Categoria>

											Conta Designada	
1	2	3	4		5	6	7	8	9	10	11	12
Item No.	Nome do fornecedor/firma/consultor ou beneficiário	Número do Contrato	Valor Total do Contrato		Número da fatura	Valor dos Pagamentos para este SOE	% Financ. pelo BIRD	Valor Financiado pelo Banco Mundial (6x7)	Data do Pagamento	Observações	Data do Débito da Conta Designada	Quantidade Debitada da Conta Designada
					Total						Total	

PARA CONTRATOS <u>QUE REQUEREM</u> REVISÃO PRÉVIA

Categoria Nº <Inserir No. Categoria>

											Conta Designada	
1	2	3	4a	4b	5	6	7	8	9	10	11	12
Item No.	Nome do fornecedor/firma/consultor ou beneficiário	Número do Contrato	Valor Total do Contrato	Data de BIRD's "No Objection" para o Contrato	Número da fatura	Valor dos Pagamentos para este SOE	% Financ. pelo BIRD	Valor Financiado pelo Banco Mundial (6x7)	Data do Pagamento	Observações	Data do Débito da Conta Designada	Quantidade Debitada da Conta Designada
					Total						Total	

Obs.: **Documentos de Suporte para este SOE recebidos em** _____
Preparar uma Declaração de Gasto por categoria
Indicar as despesas Retroactivas se for o caso